

## **Terms of Reference for Survey Consulting under EUACI**

### **1. Background**

Endemic corruption remains to be one of the major impediments for democratic and economic development of Ukraine. In 2016 Ukraine ranked at 131 according to Corruption Perception Index by Transparency International.<sup>1</sup>

Support of anti-corruption efforts in Ukraine is a high priority for Denmark and the rest of the EU contributing to the enhancement of democracy, as well as future economic growth and trade between Ukraine, Denmark and the rest of the EU Member States. The EU Anti-Corruption Initiative (EUACI) is the largest EU supported programme in the area of anti-corruption in Ukraine so far. The overall objective of the EUACI is to improve implementation of anti-corruption policy in Ukraine, thereby ultimately contributing to a reduction in corruption.

EUACI is aimed at: 1) strengthen the capacity of the newly created anti-corruption institutions; 2) enhance external oversight over the reform process by the Verkhovna Rada; and 3) enhance the capacity of local government, civil society, and media to contribute to the fight against corruption.

Component 3 focuses on combatting corruption at the local and regional level as well as on enhancing the voice of rights holders, including youth. A key element of component 3 is the application of the concept of 'Integrity Cities'. This component aims at showcasing how application of several mutually supportive anti-corruption interventions can limit the corruption risks and enhance accountability in a selected number of medium-sized cities. Moreover, the aim is to disseminate the success-stories throughout the country and to feed the lessons learned into programmes and reform-packages at the national level.

According to the 2016 Global Corruption Barometer survey, one of the most corrupt groups in Ukraine is the local government representatives (55% of respondents).<sup>2</sup>

In 2016, the percentage of people who think that the municipal authorities have facilitated citizens' participation in decision-making processes ranges from 5% to 36% depending on the region. However, when asked whether they are ready to join the process on providing detail

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<sup>1</sup> 2016 Corruption Perception Index

[https://www.transparency.org/news/feature/corruption\\_perceptions\\_index\\_2016](https://www.transparency.org/news/feature/corruption_perceptions_index_2016)

<sup>2</sup> 2016 Global Corruption Barometer <https://ti-ukraine.org/en/publications/one-in-three-ukrainians-are-ready-to-refuse-to-pay-bribes/>

for proposals for the city council, there were only 10% to 40% positive responses depending upon the region.<sup>3</sup>

During the last two years, an extensive number of cities and municipalities in Ukraine have tested different interventions and tools which enhance transparency and civic oversight, through application of: open data, participatory budgeting, smart city software, information cards, one-stop-shop service centres, anti-corruption master plans, and the establishment of civic oversight committees with participation of CSO representatives.

EUACI's consultants have compiled all the interventions and tools in a comprehensive package – an anti-corruption toolbox – which will be implemented in the EUACI 'Integrity Cities' (up to 6 cities) based upon a functional analysis of the corruption risks.

In these 'Integrity Cities' EUACI is committed to reshaping the relationship between public institutions and citizens by establishing more efficient procedures and processes, greater transparency and more participative mechanisms aimed at enhancing accountability.

EUACI will be measuring the progress of its interventions in the selected cities by assessing changes in citizens perception that local self-government have become more transparent and accountable.

The programme – EUACI – is financed by EU and co-financed by Denmark and implemented by Danida/Denmark using the indirect implementation modality. A programme office has been established in Kiev, with four internationals and seven national staff, who is responsible for the day- to-day implementation.

## **2. Objectives of the Assignment**

EUACI seeks to procure the services of a public opinion consulting agency, with a track record of conducting quality studies and public opinion surveys in Ukraine, to provide surveys and focus groups in the six (6) Ukrainian cities identified by the EUACI.

The public opinion monitoring is to assess changes in citizens' awareness of and engagement within the cities with regards to the anti-corruption environment within the six Integrity Cities.

## **3. Scope of Work and Outputs**

The following services are envisaged under this ToR:

- 1) One round of public opinion polls in 2018, conducted in six cities (Chernivtsi is identified and 5 others will be identified by February, 2018). The main objective of the public opinion polls is to measure the citizen's perceptions and the impact of the local government's anti-corruption activities, civil society awareness, engagement and participation, as well as citizen assessments of the effectiveness of anti-corruption measures taken by authorities and other anti-corruption actors; citizen perception and experiences with corruption; the public's willingness to engage in anti-corruption activities;

The poll includes approximately 100-130 questions concerning citizens' attitude toward civic activism, participatory democratic procedures, reform priorities in the local government. Some questions could contain visuals (graphics, charts). The survey sample should be representative of and disaggregated by city, gender and age.

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<sup>3</sup> 2016 Ukrainian Municipal Survey [http://www.iri.org/sites/default/files/wysiwyg/municipal\\_poll\\_2016\\_-\\_public\\_release.pdf](http://www.iri.org/sites/default/files/wysiwyg/municipal_poll_2016_-_public_release.pdf)

- 2) Up to six focus groups on the issues of local government anti-corruption actions and civil society activism, tentatively to be conducted in parallel or immediately after the poll in the following cities: Chernivtsi following on with 5 additional cities. The main purpose of the focus groups is to explore in more detail people's understanding, awareness and access to actions by local government in transparent and corruption-free actions as well as the actions of local civil society in support of the transparent actions of local government. The focus groups should be representative in terms of age, gender, region size and activist status.
- 3) Participation in public or closed events organized by EUACI for stakeholders to present the results of the surveys and focus groups.

The final design and timeline for all outputs will be agreed upon final selection of the polling agency.

**Output 1:** To conduct representative public opinion polls in Chernivtsi and 5 Ukrainian cities identified by EUACI in January-February 2018. The specific timeline for polls during 2018 will be agreed upon with the selected vendor.

- Test, finalize and obtain EUACI's approval of the survey questionnaire in English, Ukrainian and Russian.
- Design representative survey methodology, ensure that the margin of error is +/-2%.
- Organize, conduct and oversee the field interviews.
- Code and key the respondents in SPSS and CSV data files.
- Develop tabulated XLS report of cross-tables for each variable.
- Research passport (description of methodology), code book for online publishing.
- Disaggregate data based upon regions, gender, age, activist status; prepare a PPT presentation on the survey results in English and Ukrainian.
- Cross-tabulate data upon further requests.
- Report on the survey results in English and Ukrainian.

**Output 2:** Conduct up to six focus groups in the cities selected by EUACI.

- Finalize the focus group design, including the selection procedure of participants and cities where the focus groups will be conducted, design and develop focus group guide, etc.
- Transcribe all focus group data.
- Analyze and present a report on focus groups using text analysis.
- Produce qualitative and quantitative text analytics.

#### 4. Deliverables

Outputs 1:

- Questionnaire finalized and approved by EUACI (in Ukrainian, Russian and English).
- Data in SPSS and CSV format, codebook, narrative research description in English and Ukrainian.
- Cross-tabulated data tables for each variable.
- Presentation on survey results in English and Ukrainian.
- Report on the survey results in English and Ukrainian.

Output 2:

- Final design of the focus group approved by EUACI, including description of participants, focus group structure and timeline.
- Transcribed record of the focus group discussions.
- Final reports on the focus group analytics and findings in English and Ukrainian.

## **5. Qualification Requirements**

EUACI aims to select the polling agency for a six months.

Ukrainian and international polling agencies, or consortiums of Ukrainian polling agencies and Ukrainian not-for-profit organizations, with proven experience in conducting national surveys and strong capacity for research and analysis.

## **7. Financial and methodology proposal**

The Tenderer's financial proposal shall include all costs for fee and project related reimbursable expenses, and other information as noted under the Budget Template. The Tenderer's proposal shall also include methodology, and work plan.

## **8. Timeframe**

It is anticipated to start implementation of this assignment in December, 2017 and to complete it no later than May, 2018, with a possible extension thereafter.

**9. Deadline for proposals** submission is **8 December, 2017 17:00 Kyiv time.**

### **How to apply**

The proposals shall be submitted within the above deadlines to Barbara James, [barjam@ukraine-aci.com](mailto:barjam@ukraine-aci.com)

### **Clarification questions**

Questions for the Request for Bid should be addressed to Bogdan Boyko, [bboyko@ukraine-aci.com](mailto:bboyko@ukraine-aci.com) no later than **1 December, 2017 17:00 Kyiv time.**